**General Financial Disclosure**

This General Financial Disclosure is designed to help the University promote objectivity in research by ensuring that “there is no reasonable expectation that the design, conduct, or reporting of research . . . will be biased by any conflicting financial interest of an Investigator” (42 CFR Part 50). It, additionally, is designed to ensure that the protection of human subjects involved in research will not be jeopardized by conflicting financial interest of the Investigator.

The information requested is required under the Financial Disclosure Policy of the Public Health Services (PHS), the National Science Foundation (NSF), the Food and Drug Administration (FDA) and other public and private funding sources. This disclosure form is consistent with University Executive Guideline 32, [Section 420.030 of the Collected Rules and Regulations of the University of Missouri](https://www.umsystem.edu/ums/rules/collected_rules/research/ch420/420.030_conflict_with_the_interests_of_federal_grant_agencies). The information requested must be disclosed (a) at the time an application is submitted; (b) on an annual basis during the project period; and (c) immediately as new significant interests are obtained.

**Investigator Name:** Click or tap here to enter text.

**Part A: Required Training**

I certify that I have completed the required [CITI Conflict of Interest training](https://about.citiprogram.org/en/homepage/) and have provided a copy of the CITI training certificate as a part of this application.

**Part B: Significant Financial Interest**

1. Have/will you1 receive(d) income (e.g. gifts, favors, loans, services, salary, consulting fees), and/or have/will you possess(ed) an equity/managerial interest from/in a publicly traded entity deemed a Significant Financial Interest (SFI)2?

Choose an item.

1. Have/will you receive(d) income (e.g. gifts, favors, loans, services, salary, consulting fees) from a non-publicly traded entity deemed a SFI?

Choose an item.

1. Do/will you possess an equity/ managerial interest in a non-publicly traded entity deemed a SFI?

Choose an item.

1. Do you receive income from any Intellectual property rights and interests (e.g. patents, licenses, royalties, and copyrights) deemed a SFI?

Choose an item.

1. Have/will you receive(d) reimbursed or sponsored travel deemed a SFI?

Choose an item.

If you have answered YES to any of the questions in Part B, please complete Appendix A for each significant financial interest.

I certify, to the best of my knowledge and belief that the above questions have been answered correctly and that information provided in Appendix A is accurate and that I will update and revise the answers as required.



Right Click on the Signature Line then, in the drop-down list, click Sign

1 **You** means you, your spouse, and/or dependent children.

2 **Significant Financial Interest (SFI)** means a financial interest of a value exceeding a Defined Threshold**\*** that is reasonably related to your Institutional Responsibilities**\*\*** and/or could reasonably affect or be affected by the outcome of the sponsored project and has been/will be possessed and/or received during a 12-month period prior to and/or after the disclosure. The following EXCLUSIONS apply to the definition of a SFI:

* Salary, royalties, or other remuneration paid by the University to University Personnel (UP) if UP are currently employed or otherwise appointed by the University, including intellectual property rights assigned to the University and agreements to share in royalties related to such rights;
* Income from investment vehicles, such as mutual funds and retirement accounts, as long as UP do not directly control the investment decisions made in these vehicles;
* Income from seminars, lectures, or teaching engagements sponsored by a Federal, state, or local government agency (Government Agency), an Institution of higher education as defined in 20 U.S.C. 1001(a) (Higher Education Institution), an academic teaching hospital, a medical center, or a research institute that is affiliated with a Higher Education Institution;
* Income from service on advisory committees or review panels for a Government Agency, a Higher Education Institution, an academic teaching hospital, a medical center, or a research institute that is affiliated with a Higher Education Institution;
* Travel reimbursed or sponsored by a Government Agency, a Higher Education Institution, an academic teaching hospital, a medical center, or a research institute that is affiliated with a Higher Education Institution; and
* Grants and contracts administered through the University.

**\*** Defined Threshold means:

* $5000 aggregate amount, on an entity-by-entity basis, for each of the following categories: 1) income from and equity in a publicly traded entity; 2) income from a non-publicly traded entity; 3) income from any intellectual property rights and interests; and 4) reimbursed or sponsored travel.
* $0 aggregate amount, on an entity-by-entity basis, for: 1) equity in a non-publicly traded entity; 2) income from managerial interest.

**\*\*** Institutional Responsibilities means University Personnel’s professional responsibilities on behalf of the University, which may include for example: current or proposed activities such as research, research consultation, research-related student supervision, teaching, professional practice, institutional committee memberships, and service on panels such as Institutional Review Boards or Data and Safety Monitoring Boards.

**Appendix A**

**Entities/Intellectual Property:** Click or tap here to enter text.

1. Nature of Financial Interest: Click or tap here to enter text.
   1. (Examples: Travel, ownership interest, gift, consulting fees, equity interest, managerial interest, loan)
2. Name, Address, and Web Address of Entity: Click or tap here to enter text.
3. Description of the Business or Service(s) Provided by the Entity: Click or tap here to enter text.
4. Your Relationship with the Entity: Click or tap here to enter text.
5. Business Structure of Entity: Click or tap here to enter text.
   1. (Examples: Publicly Traded For-Profit Entity, Nonpublic For-Profit Entity, Nonprofit Entity, Foundation)
6. What is the Role of the Entity, if any, in this Specific Project? Choose an item.
   1. If other, please explain: Click or tap here to enter text.
7. Justification for the Entity’s Inclusion in this Sponsored Project: Click or tap here to enter text.
8. Value of Financial Interest: Choose an item.
   1. If “Other” or “Unascertainable”, please provide an explanation: Click or tap here to enter text.
9. Travel (If Not Applicable, Please Skip) Choose an item.
   1. Name, if Spouse and/or Dependent Child: Click or tap here to enter text.
   2. Purpose: Click or tap here to enter text.
   3. Provided by: Click or tap here to enter text.
   4. Destination: Click or tap here to enter text.
   5. Duration: Click or tap here to enter text.
10. Description of how this Entity Relates to or Impacts this Specific Project: Click or tap here to enter text.
11. Description of how to Safeguard Objectivity of this Specific Project to Ensure Objectivity and Research Integrity Despite this Significant Financial Interest: Click or tap here to enter text.
12. Description of how to Monitor this Specific Project to Ensure Objectivity and Research Integrity Despite This Significant Financial Interest: Click or tap here to enter text.
13. Description of any Supervisory Role you have in this Project: Click or tap here to enter text.