**INVESTIGATOR FORM**

Principal Investigator: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Sponsoring Agency: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Project Title: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Proposal Number (starts with 00): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_­­­­­­\_\_\_\_\_ Anticipated Award Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

All Investigators must have a current certificate of completion for the **CITI Conflict of Interest Mini-Course** AND must filea **Financial Disclosure Form** for each proposal submitted toany federal sponsor, excluding the US Department of Education, and to certain other sponsors listed at http://ors.umkc.edu/office-of-research-services/financial-conflict-of-interest.

**Who is considered an Investigator?** An “investigator” is a term used to determine who is required to file Financial Disclosure Forms when involved with a project funded by the sponsors listed at the web site above. The term “investigator” includes anyone else who is responsible for the design, conduct, or reporting of the research.

Please note that **investigators included on a subcontract should not be listed on this form** if their institution has submitted a letter of intent to subcontract certifying that the institution has an enforced policy and procedure on conflict of interest which complies with the Public Health Service (PHS) regulations on Responsibility of Applicants for Promoting Objectivity in Research for which PHS Funding is Sought (42 C.F.R. Part 50, Subpart F) and Responsible Prospective Contractors (45 C.F.R. Part 94), and that a Financial Disclosure is on file for the investigators involved in the application.

**All Investigators on this project:**

|  |  |  |  |
| --- | --- | --- | --- |
| Name, Job Title | Role on Project  | Institutional Affiliation | This Section for ORS Use |
|  | Principal Investigator | UMKC |  |  |
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| Please use additional forms if you have further Investigators to add.  |

**THIS PROPOSAL CAN NOT BE SUBMITTED UNTIL ALL ABOVE INDIVIDUALS HAVE SUBMITTED A FINANCIAL DISCLOSURE FORM AND COMPLETED THE CITI CONFLICT OF INTEREST MINI-COURSE WITHIN THE LAST FOUR YEARS**

**For information on CITI training or filing a Financial Disclosure Form and the applicable regulations please visit:**

[**http://ors.umkc.edu/office-of-research-services/conflict-of-interest**](http://ors.umkc.edu/office-of-research-services/conflict-of-interest)

PHS has provided the following guide for determining who is an investigator:

**42 CFR 50.603 - Definitions**

* *Investigator* means the project director or principal Investigator and any other person, regardless of title or position, who is **responsible for the design, conduct, or reporting of research** funded by the PHS, or proposed for such funding, which may include, for example, collaborators or consultants.

**PHS comments about the Investigator definition:**

* “…the definition of “Investigator” has been revised in the final rule to emphasize that Institutions should consider the roles of those involved in research and the **degree of independence** with which those individuals work.”
* “…we note that the definition refers to the **function** of the individual on the PHS-funded project; i.e. his/her responsibility for the design, conduct, or reporting of the PHS-funded research, **and not to his/her title or the amount and/or source of remuneration**.”

**I certify that the individuals on this form represent the current complete list of all investigators to be assigned to this project. I understand that I must update this form prior to allowing any additional investigators to participate in this project.**

**Signature\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_Date\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

Rev. 11/2013