

To comply with the regulations of OMB Circular A-133, UMKC must determine whether services provided to the university to further the purposes of a grant or contract should be paid as vendor agreements or subrecipient awards. Please use the following checklist to determine which of these agreements best applies to your situation. The area in which you have the most "Yes" answers is probably the agreement you should choose. As always with grants, there are exceptions, and your best judgment should be applied to make the determination. If you have questions which are not answered by this checklist, please check the Research website for more information: (<http://www.umkc.edu/Research/>) or call the ORS front desk at 816.235.5600 for additional assistance. If you have determined the contract to be a vendor agreement and have questions concerning the bidding process, sole source orders, etc. please contact Procurement at 816.235.1371.

Checklist for Determination of Vendor *versus* Subrecipient on Sponsored Projects

(for use when funds are being transferred to a for-profit or foreign organization)

Name of Organization:

Funding Agency:

Contract/Grant Project #:

Relationship Determined to Be: () Vendor () Subrecipient

	Yes	No	
			Vendor
Does the organization operate in a competitive environment?	<input type="checkbox"/>	<input type="checkbox"/>	
Does the organization provide goods and services within normal business operations to different purchasers?	<input type="checkbox"/>	<input type="checkbox"/>	
Does the organization provide goods or services that are ancillary to the operation of the program?	<input type="checkbox"/>	<input type="checkbox"/>	(Payments for these agreements should be under budget accounts which roll up to department operating, 720001.)
Are services being performed routine services that could be performed for the general public or general research/scientific community rather than unique to the project?	<input type="checkbox"/>	<input type="checkbox"/>	
Is the contribution made to the project one of services performed rather than independent research?	<input type="checkbox"/>	<input type="checkbox"/>	
Is the organization free from programmatic compliance (A-133) requirements?	<input type="checkbox"/>	<input type="checkbox"/>	
Does the organization perform analysis or discretionary judgment related to the primary award?	<input type="checkbox"/>	<input type="checkbox"/>	
Is the work to be conducted by an unidentified individual or team of personnel?	<input type="checkbox"/>	<input type="checkbox"/>	
Is the activity performed a series of repetitive tests or activities requiring little or no discretionary judgment on behalf of the service provider?	<input type="checkbox"/>	<input type="checkbox"/>	
Is the organization using funds to carry out a program of the organization rather than providing goods and services to UMKC's program?	<input type="checkbox"/>	<input type="checkbox"/>	
Was the organization identified in the proposal to the sponsor?	<input type="checkbox"/>	<input type="checkbox"/>	(Payments for these agreements should be under budget accounts 765001 & 766001, and are to be made by ORS only.)
Is the organization free to decide how to carry out the activities of this program?	<input type="checkbox"/>	<input type="checkbox"/>	
Is work being performed unique to the project and designed specifically to enhance the scientific research goals and outcomes of this project?	<input type="checkbox"/>	<input type="checkbox"/>	
Does the organization have distinct deliverables to deliver to the research goals of the project?	<input type="checkbox"/>	<input type="checkbox"/>	
Will organization contribute deliverables with little direct supervision from UMKC?	<input type="checkbox"/>	<input type="checkbox"/>	
Is there an identified investigator at the organization? If yes, is that person a co-investigator?	<input type="checkbox"/>	<input type="checkbox"/>	
Does the organization have publishing rights and responsibilities?	<input type="checkbox"/>	<input type="checkbox"/>	
Will the organization have rights to resulting patentable or copyrightable technology?	<input type="checkbox"/>	<input type="checkbox"/>	
Does the organization make measurable, significant, scientific contributions to the final report or product?	<input type="checkbox"/>	<input type="checkbox"/>	
Is the organization required to adhere to applicable program compliance (A-133) requirements?	<input type="checkbox"/>	<input type="checkbox"/>	
Is the organization providing cost-share or matching funds?	<input type="checkbox"/>	<input type="checkbox"/>	